

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael C. Weaver et al.  
Application No. : 09/520,264  
Filed : March 7, 2000  
For : NETWORK-BASED SYSTEM AND METHOD FOR ACCESSING  
AND PROCESSING LEGAL DOCUMENTS  
Examiner : Te Y. Chen  
Art Unit : 2161  
Docket No. : 110172.401  
Date : June 22, 2007

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Information Disclosure Statement. Copies of cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of any other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

/Dennis M. de Guzman/

.....  
Dennis M. de Guzman  
Registration No. 41,702

DMD:wt

Enclosures:

Supplemental Information Disclosure Statement  
Cited References (2)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants : Michael C. Weaver et al.  
Application No. : 09/520,264  
Confirmation No. : 1291  
Filed : March 7, 2000  
For : NETWORK-BASED SYSTEM AND METHOD FOR ACCESSING  
AND PROCESSING LEGAL DOCUMENTS

Examiner : Te Y. Chen  
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P.O. Box 1450  
Alexandria, VA 22313-1450

FEE DEFICIENCY AUTHORIZATION FORM

Commissioner for Patents:

Applicants hereby authorize the Director to charge any deficiencies in fees due by way of the enclosed papers only under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1090.

Respectfully submitted,  
SEED Intellectual Property Law Group PLLC

/Dennis M. de Guzman/

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977541\_1.DOC

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09520264
	Filing Date		2000-03-07
	First Named Inventor	Michael C. Weaver	
	Art Unit	2161	
	Examiner Name	Te Y. Chen	
Attorney Docket Number		110172 401	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5581682		1996-12-03	Anderson et al.	
	2	5903646		1999-05-11	Rackman	
	3	6021202		2000-02-01	Anderson et al.	
	4	6542912		2003-04-01	Meltzer et al.	
	5	6738760		2004-05-18	Krachman	
	6	6889205		2005-05-03	Lamm	
	7	7130858		2006-10-31	Ciaramitaro et al.	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09520264
Filing Date	2000-03-07
First Named Inventor	Michael C. Weaver
Art Unit	2161
Examiner Name	Te Y. Chen
Attorney Docket Number	110172.401

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20040024775		2004-02-05	Kemp	
	2	20040260876		2004-12-23	Singh et al.	
	3	20060218149		2006-09-28	Patrick	
	4	20060277220		2006-12-07	Patrick et al.	

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	1							<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**


Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate); title of the item (book, magazine, journal, serial, symposium, catalog, etc); date, pages(s), volume-issue number(s); publisher, city and/or country where published.	T <sup>5</sup>
	1	USAN: 10/452,810, filed May 30, 2003, entitled: "Software-Based Tools to Facilitate On-Line Processing of Electronic Files, Including a Tool for Electronic Redaction," inventor: Sanders et al.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09520264
Filing Date	2000-03-07
First Named Inventor	Michael C. Weaver
Art Unit	2161
Examiner Name	Te Y. Chen
Attorney Docket Number	110172 401

2

USAN: 11/229,342, filed September 16, 2005, entitled: "Network-Based System and Method for Accessing and Processing Emails and Other Electronic Legal Documents That May Include Duplicate Information," inventor: Payette et al.



If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	09520264
Filing Date	2000-03-07
First Named Inventor	Michael C. Weaver
Art Unit	2161
Examiner Name	Te Y. Chen
Attorney Docket Number	110172.401

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.58(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Dennis M. de Guzman/	Date (YYYY-MM-DD)	2007-06-22
Name/Print	Dennis M. de Guzman	Registration Number	41702

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	09520264			
<b>Filing Date:</b>	07-Mar-2000			
<b>Title of Invention:</b>	Network-based system and method for accessing and processing legal documents			
<b>First Named Inventor/Applicant Name:</b>	Michael C. Weaver			
<b>Filer:</b>	Dennis Moises De Guzman/Wendy Thomas			
<b>Attorney Docket Number:</b>	110172.401			
Filed as Large Entity				
<b>Utility      Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous Filing:</b>				
<b>Petition:</b>				
<b>Patent Appeals and Interference:</b>				
<b>Post-Allowance and Post-Issuance:</b>				
<b>Extension of Time:</b>				

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Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
Total in USD (\$)				180

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## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1900990
<b>Application Number:</b>	09620264
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1291
<b>Title of Invention:</b>	Network-based system and method for accessing and processing legal documents
<b>First Named Inventor/Applicant Name:</b>	Michael C. Weaver
<b>Customer Number:</b>	500
<b>Filer:</b>	Dennis Moises De Guzman/Wendy Thomas
<b>Filer Authorized By:</b>	Dennis Moises De Guzman
<b>Attorney Docket Number:</b>	110172.401
<b>Receipt Date:</b>	22-JUN-2007
<b>Filing Date:</b>	07-MAR-2000
<b>Time Stamp:</b>	18:08:05
<b>Application Type:</b>	Utility

### Payment information:

<b>Submitted with Payment:</b>	yes
<b>Payment was successfully received in RAM:</b>	\$ 180
<b>RAM confirmation Number:</b>	2287
<b>Deposit Account:</b>	191090

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Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
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2	NPL Documents	401_401C2_APP_REF.pdf	1839251	no	61
<b>Warnings:</b>					
<b>Information:</b>					
3	NPL Documents	401_402_APP_REF.pdf	3428313	no	78
<b>Warnings:</b>					
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4	Miscellaneous Incoming Letter	401_FEE_DEF.pdf	49667	no	1
<b>Warnings:</b>					
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5	Information Disclosure Statement (IDS) Filed	401_IDS.pdf	1022782	no	5
<b>Warnings:</b>					
<b>Information:</b>					
6	Fee Worksheet (PTO-06)	fee-info.pdf	8207	no	2
<b>Warnings:</b>					
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<b>Total Files Size (in bytes):</b>			6399213		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.